

# Concept Note

Project/Programme Title: \_\_\_\_\_

Country(ies): \_\_\_\_\_

National Designated  
Authority(ies) (NDA): \_\_\_\_\_

Accredited Entity(ies) (AE): \_\_\_\_\_

Date of first submission/  
version number: [YYYY-MM-DD] [V.0]

Date of current submission/  
version number: [YYYY-MM-DD] [V.0]



**GREEN  
CLIMATE  
FUND**

## Notes

- The maximum number of pages should **not exceed 12 pages**, excluding annexes. Proposals exceeding the prescribed length will not be assessed within the indicative service standard time of 30 days.
- As per the Information Disclosure Policy, the concept note, and additional documents provided to the Secretariat can be disclosed unless marked by the Accredited Entity(ies) (or NDAs) as confidential.
- The relevant National Designated Authority(ies) will be informed by the Secretariat of the concept note upon receipt.
- NDA can also submit the concept note directly with or without an identified accredited entity at this stage. In this case, they can leave blank the section related to the accredited entity. The Secretariat will inform the accredited entity(ies) nominated by the NDA, if any.
- Accredited Entities and/or NDAs are encouraged to submit a Concept Note before making a request for project preparation support from the Project Preparation Facility (PPF).
- Further information on GCF concept note preparation can be found on GCF website [Funding Projects Fine Print](#).

| <b>A. Project/Programme Summary (max. 1 page)</b>  |   |  |   |
|--|---|--|---|
| <b>A.1. Project or programme</b>   | <input type="checkbox"/> Project<br><input type="checkbox"/> Programme  | <b>A.2. Public or private sector</b>   | <input type="checkbox"/> Public sector<br><input type="checkbox"/> Private sector                           |
| <b>A.3. Is the CN submitted in response to an RFP?</b>   | Yes <input type="checkbox"/> No <input type="checkbox"/><br>If yes, specify the RFP: _____  | <b>A.4. Confidentiality<sup>1</sup></b>  | <input type="checkbox"/> Confidential<br><input type="checkbox"/> Not confidential                          |
| <b>A.5. Indicate the result areas for the project/programme</b>  | <p><b>Mitigation:</b> Reduced emissions from:</p> <input type="checkbox"/> Energy access and power generation<br><input type="checkbox"/> Low emission transport<br><input type="checkbox"/> Buildings, cities and industries and appliances<br><input type="checkbox"/> Forestry and land use<br><p><b>Adaptation:</b> Increased resilience of:</p> <input type="checkbox"/> Most vulnerable people and communities<br><input type="checkbox"/> Health and well-being, and food and water security<br><input type="checkbox"/> Infrastructure and built environment<br><input type="checkbox"/> Ecosystem and ecosystem services |  |   |
| <b>A.6. Estimated mitigation impact (tCO<sub>2</sub>eq over lifespan)</b>                              |   | <b>A.7. Estimated adaptation impact (number of direct beneficiaries and % of population)</b> |   |
| <b>A.8. Indicative total project cost (GCF + co-finance)</b>   | Amount: USD _____   | <b>A.9. Indicative GCF funding requested</b>   | Amount: USD _____   |
| <b>A.10. Mark the type of financial instrument requested for the GCF funding</b>                       | <input type="checkbox"/> Grant <input type="checkbox"/> Reimbursable grant <input type="checkbox"/> Guarantees <input type="checkbox"/> Equity<br><input type="checkbox"/> Subordinated loan <input type="checkbox"/> Senior Loan <input type="checkbox"/> Other: specify _____   |  |   |
| <b>A.11. Estimated duration of project/ programme:</b>   | a) disbursement period:<br>b) repayment period, if applicable:  | <b>A.12. Estimated project/ Programme lifespan</b>   | This refers to the total period over which the investment is effective.                                     |
| <b>A.13. Is funding from the Project Preparation Facility requested?<sup>2</sup></b>                   | Yes <input type="checkbox"/> No <input type="checkbox"/><br>Other support received <input type="checkbox"/> If so, by who: _____  | <b>A.14. ESS category<sup>3</sup></b>  | <input type="checkbox"/> A or I-1<br><input type="checkbox"/> B or I-2<br><input type="checkbox"/> C or I-3 |
| <b>A.15. Is the CN aligned with your accreditation standard?</b>                                       | Yes <input type="checkbox"/> No <input type="checkbox"/>  | <b>A.16. Has the CN been shared with the NDA?</b>  | Yes <input type="checkbox"/> No <input type="checkbox"/>  |
| <b>A.17. AMA signed (if submitted by AE)</b>   | Yes <input type="checkbox"/> No <input type="checkbox"/><br>If no, specify the status of AMA negotiations and expected date of signing: _____   | <b>A.18. Is the CN included in the Entity Work Programme?</b>                                | Yes <input type="checkbox"/> No <input type="checkbox"/>  |
| <b>A.19. Project/Programme rationale, objectives and approach of programme/project (max 100 words)</b> | Brief summary of the problem statement and climate rationale, objective and selected implementation approach, including the executing entity(ies) and other implementing partners.  |  |   |

<sup>1</sup> Concept notes (or sections of) not marked as confidential may be published in accordance with the Information Disclosure Policy ([Decision B.12/35](#)) and the Review of the Initial Proposal Approval Process ([Decision B.17/18](#)).

<sup>2</sup> See [here](#) for access to project preparation support request template and guidelines

<sup>3</sup> Refer to the Fund's environmental and social safeguards ([Decision B.07/02](#))

**B. Project/Programme Information (max. 8 pages)**

**B.1. Context and baseline (max. 2 pages)**

*Describe the climate vulnerabilities and impacts, GHG emissions profile, and mitigation and adaptation needs that the prospective intervention is envisaged to address.*

*Please indicate how the project fits in with the country's national priorities and its full ownership of the concept. Is the project/programme directly contributing to the country's INDC/NDC or national climate strategies or other plans such as NAMAs, NAPs or equivalent? If so, please describe which priorities identified in these documents the proposed project is aiming to address and/or improve.*

*Describe the main root causes and barriers (social, gender, fiscal, regulatory, technological, financial, ecological, institutional, etc.) that need to be addressed.*

*Where relevant, and particularly for private sector project/programme, please describe the key characteristics and dynamics of the sector or market in which the project/programme will operate.*

**B.2. Project/Programme description (max. 3 pages)**

*Describe the expected set of components/outputs and subcomponents/activities to address the above barriers identified that will lead to the expected outcomes.*

*In terms of rationale, please describe the theory of change and provide information on how it serves to shift the development pathway toward a more low-emissions and/or climate resilient direction, in line with the Fund's goals and objectives.*

*Describe how activities in the proposal are consistent with national regulatory and legal framework, if applicable.*

*Describe in what way the Accredited Entity(ies) is well placed to undertake the planned activities and what will be the implementation arrangements with the executing entity(ies) and implementing partners.*

*Please provide a brief overview of the key financial and operational risks and any mitigation measures identified at this stage.*

**B.3. Expected project results aligned with the GCF investment criteria (max. 3 pages)**

*The GCF is directed to make a significant and ambitious contribution to the global efforts towards attaining the goals set by the international community to combat climate change, and promoting the paradigm shift towards low-emission and climate-resilient development pathways by limiting or reducing greenhouse gas emissions and adapting to the impacts of climate change.*

*Provide an estimate of the expected impacts aligned with the GCF investment criteria: impact potential, paradigm shift, sustainable development, needs of recipients, country ownership, and efficiency and effectiveness.*

**B.4. Engagement among the NDA, AE, and/or other relevant stakeholders in the country (max ½ page)**

*Please describe how engagement among the NDA, AE and/or other relevant stakeholders in the country has taken place and what further engagement will be undertaken as the concept is developed into a funding proposal.*

**C. Indicative Financing/Cost Information (max. 3 pages)**

**C.1. Financing by components (max ½ page)**

*Please provide an estimate of the total cost per component/output and disaggregate by source of financing.*

| Component/Output                   | Indicative cost (USD) | GCF financing |                      | Co-financing |                      |                      |
|------------------------------------|-----------------------|---------------|----------------------|--------------|----------------------|----------------------|
|                                    |                       | Amount (USD)  | Financial Instrument | Amount (USD) | Financial Instrument | Name of Institutions |
|                                    |                       |               |                      |              |                      |                      |
|                                    |                       |               |                      |              |                      |                      |
|                                    |                       |               |                      |              |                      |                      |
|                                    |                       |               |                      |              |                      |                      |
| <b>Indicative total cost (USD)</b> |                       |               |                      |              |                      |                      |

*For private sector proposal, provide an overview (diagram) of the proposed financing structure.*

**C.2. Justification of GCF funding request (max. 1 page)**

*Explain why the Project/ Programme requires GCF funding, i.e. explaining why this is not financed by the public and/ or private sector(s) of the country.*

*Describe alternative funding options for the same activities being proposed in the Concept Note, including an analysis of the barriers for the potential beneficiaries to access to finance and the constraints of public and private sources of funding.*

*Justify the rationale and level of concessionality of the GCF financial instrument(s) as well as how this will be passed on to the end-users and beneficiaries. Justify why this is the minimum required to make the investment viable and most efficient considering the incremental cost or risk premium of the Project/ Programme (refer to Decisions B.12/17; B.10/03; and B.09/04 for more details). The justification for grants and reimbursable grants is mandatory.*

*In the case of private sector proposal, concessional terms should be minimized and justified as per the Guiding principles applicable to the private sector operations (Decision B.05/07).*

**C.3. Sustainability and replicability of the project (exit strategy) (max. 1 page)**

*Please explain how the project/programme sustainability will be ensured in the long run and how this will be monitored, after the project/programme is implemented with support from the GCF and other sources.*

*For non-grant instruments, explain how the capital invested will be repaid and over what duration of time.*

**D. Supporting documents submitted (OPTIONAL)**

- Map indicating the location of the project/programme
- Diagram of the theory of change
- Economic and financial model with key assumptions and potential stressed scenarios
- Pre-feasibility study
- Evaluation report of previous project
- Results of environmental and social risk screening

**Self-awareness check boxes**

Are you aware that the full Funding Proposal and Annexes will require these documents? Yes  No

- Feasibility Study
- Environmental and social impact assessment or environmental and social management framework
- Stakeholder consultations at national and project level implementation including with indigenous people if relevant
- Gender assessment and action plan
- Operations and maintenance plan if relevant
- Loan or grant operation manual as appropriate
- Co-financing commitment letters

Are you aware that a funding proposal from an accredited entity without a signed AMA will be reviewed but not sent to the Board for consideration? Yes  No